



इलाहाबाद विश्वविद्यालय
UNIVERSITY OF ALLAHABAD
(A Central University)



Supporting Document

NAAC SSR: 2019-2024

Metric No.	
3.3.1	Institution has created and ecosystem for innovations, Indian Knowledge System (IKS) including awareness about IPR, establishment of IPR cell, Incubation centre and other initiatives for creation and transfer of technology / knowledge and the outcomes of the same are evident

Response:

S.No.	Description	University Website Link
01	Incubation Centre	https://www.allduniv.ac.in/p/258/incubation-centre
02	Intellectual Property Rights (IPR)	https://www.allduniv.ac.in/research/ipr-patent
03	University-Industry Interface Cell	https://www.allduniv.ac.in/academics/university-industry-interface-cell



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Aim

The aim of the Incubation Centre, University of Allahabad is to establish and foster innovation and entrepreneurship by supporting innovative business ideas that may lead to viable business enterprises, thereby promoting the spirit of self-reliance or 'Atmanirbhar'



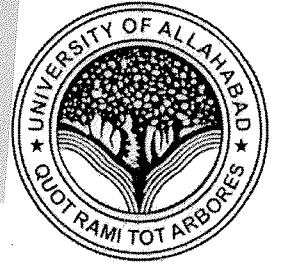
इलाहाबाद विश्वविद्यालय

प्रयागराज — 211 002, उ०प्र० (भा)

UNIVERSITY OF ALLAHABAD

Prayagraj - 211 002, U. P. (INDIA)

Sign: 3



No. 05/R/ 3209 /2022

Date: 31st August, 2022

NOTIFICATION

With the approval of Hon'ble Vice Chancellor, a Patent Committee in the University is hereby constituted comprising of the following members:

- | | | |
|---|---|----------|
| 1. Prof. Vrajesh Tripathi, Department of Zoology | - | Chairman |
| 2. Dr. Manish Srivastava, Department of Chemistry | - | Member |
| 3. Dr. Monisha Singh, Dept. of Family & Comm. Science | - | Member |
| 4. Dr. Triranjita Srivastava, Dept. of Physics | - | Member |
| 5. Adv. Adarsh Ramanujan, Lawyer, Independent Counsel,
Chambers of Adarsh Ramanujan, Delhi | - | Member |
| 6. Adv. Shuja Zameer, Lawyer, Supreme Court of India, Delhi | - | Member |
| 7. Dr. Simant Kumar Srivastava, Dept. of Chemistry | - | Convener |

NK/ 31/8/2022
Registrar

Copy to:

1. All members concerned.
2. All the Deans of Faculty (Arts/Law/Commerce/Science).
3. Dean, (College Development/Research and Development/Student Welfare).
4. All Heads of Departments are requested to attend the meeting along with two junior faculty members of their Department, UoA.
5. All Coordinators of Centers are requested to attend the meeting along with two junior faculty members of their Centre, UoA.
6. Public Relation Officer, UoA.
7. Finance Officer, UoA.
8. Librarian/Deputy Registrar/Assistant Registrar, UoA.
9. CPIO/Law Officer/Estate Manager/Placement Officer/Chairman, ICT Cell.
10. SVC for kind information of the Hon'ble Vice Chancellor.

NK/ 31/8/2022
Registrar

General Information about Patent rules

- i. **Not all inventions are patentable** subject matter. Section 3 of the Patents Act provides that subject matter which is not patentable. Therefore, while conceiving, the inventor must be clear that the invention does not fall under any of such categories which are not patentable.
- ii. All inventions must meet the **novelty criteria under the Patents Act**. The patentability opinion can be examined upon conducting an extensive search and forming a patentability report.
- iii. The **application** consists of various parts such as Claims, Background, Description, Drawing (if any), Abstract, and Summary. All such parts must be carefully drafted to provide effective disclosure of the invention. It is advised that utmost care and precision must be taken while drafting the application.
- iv. **Filing the Patent Application**
- v. The application is published after 18 months from the date of filing. There is no need for any special requirement from the applicant for publication. In case, the applicant does not want to wait till the expiry of 18 months, an **early publication request** can be made along with prescribed fees by filing Form 9. Generally, the patent application is published within one month from the request of early publication.
- vi. Unlike the publication, the examination is not an automatic process and the applicant is required to **request the patent office to examine the patent application**. The normal request must be filed within 48 months from the date of filing of an application.
- vii. The applicant needs to **respond to the objection received** from the patent office by way of the First Examination Report. The applicant is expected to file a written response to the objection raised in the examination report.
- viii. After addressing all objections, the application would be placed for a grant once it is found to be meeting all patentability requirements, and finally, the patent will be granted to the applicant. The **grant of a patent** is notified in the patent journal which is published from time to time.
- ix. Any person interested may file a **post-grant opposition** within 12 months from the date of publication of the grant of patents, by providing a notice of opposition to the controller.

Guidelines and SOP for Patent in University of Allahabad

Introduction

Intellectual Property has always played an increasingly significant role in today's technology-driven society. Patents are an important asset for an organization. Hence, the innovative strength of an organization can be measured through its patenting activities. Not just the number of patents, it is also the quality of patents that makes the corporation more demanding. Grant of a patent is a long process that entails multiple checks on **novelty, non-obviousness, and industrial applicability** of the invention. A patent, granted by the government, gives an exclusive right to an inventor to make, use, and sell his invention. This exclusive right is for a limited period of 20 years from the date of filing.

A. Organization of Patent Cell

Cell has the following organizational structure (notification no. 05-R-3209 dated Aug.31, 2022)

Members of PC

1. Prof. Vrajesh Tripathi, Department of Zoology, AU	Chairman
2. Dr. Manish Srivastava, Department of Chemistry, AU	Member
3. Dr. Monisha Singh, Dept. of family & Comm. Science, AU	Member
4. Dr. Triranjita Srivastava, Department of Physics, AU	Member
5. Mr. Adarsh Ramanujan, Lawyer, Independent Counsel, Chamber of Adarsh Ramanujan, Delhi	Member
6. Mr. Shuja Zameer, Layer, Supreme Court of India, Delhi	Member
7. Dr. Simant Kumar Srivastava, Department of Chemistry, AU	Convener

A panel of patent attorneys who will work as consultants both for legal and financial matters.

B. Responsibility

The cell will assist the University to provide services for patenting to all Departments/ Schools / Centres of the University. Cell will assist the University to file patent applications in India/abroad and execute other documents; execute license, and material transfer agreements; enter into confidentiality and non-disclosure agreements, and execute other documents related patenting and confidentiality/non-disclosure agreement. The fundamental idea is to safeguard the inventions that are created and therefore, encourage more developments.

C. Financial Support

Financial resources needed to establish patent cell would initially be provided out of the funds granted under the University. Additionally, the patent filing costs could be met from any of the following sources.

- University of Allahabad
- Through external agencies like NRDC (National Research Development Council), TIFAC (Technology Information, Forecasting and Assessment Council), BCIL (Biotech Consortium India Limited) etc.
- Paid by the inventor through project grants
- Some of the funding agencies sanction grants only on the condition that the technology developed as a result of the project funded by them will belong to them. In that case the inventor has to follow the conditions laid down by the funding agency.

D. Who can apply?

An application can be filed by any of the following having association with AU: **Faculty / Researcher**

- By any person claiming to be the true and first creator or owner of the intellectual property;
- By any person being the assignee of the person claiming to be the true and first creator or owner of the intellectual property in respect of the right to make such an application; or
- By the legal representatives of the deceased person who immediately before his death was entitled to make such an application

E. Benefit Sharing

Universities the world over have acknowledged the claims of academic creators to have some share in the income derived from the technology transfer. The revenue received as a result of patents in the form of cash royalties and/or equity shall be distributed in such a manner as to encourage technology development and its transfer. Proposed Benefit Sharing by the University (AU) on account of technology transfer in a year shall be shared between inventor's team and the University in the following manner:

(i) money received upto 30% of the gross salary (basic+DA+CCA)	No Sharing
(ii) money received beyond 30% and upto the gross salary	70:30 between the inventor's team and the University
(iii) money received beyond gross salary	50:50 between the inventor's team and the University

F. Conflict of Interest and its Settlement

If an inventor has a grievance about the University's handling of intellectual property, he/she can take the benefit of the Grievance Redressal Mechanism of Intellectual Property Rights Cell (IPR) of AU or appeal to Vice-Chancellor, AU whose decision will be final.

F. How to submit proposals for Patents?

The proposal must be accompanied by a brief write up about the invention, its application and some brief features regarding its novelty etc. The inventors can submit provisional specifications at first stage and complete specifications may be submitted later. The inventors are requested not to give too much details of their invention in the preliminary information report. Steps involved in a patent filing are:-

The SOP (Standard Operating Procedure) for filing the Patent, Patent Cell, AU

Steps	Processes	Required
Step 1. Patent Search to establish the prior art	<p>1.1 The applicant performs the prior art (novelty, non-obviousness, industrial applications etc) search, fills the AU Factsheet Form duly forwarded with Departmental Committee recommendation/ declarations and writes an email to the Chair, Patent Cell requesting presentation for filing patent</p> <p>1.2 The Patent cell Chair invites the applicant for presentation in front of the Screening Committee to establish novelty, non-obviousness and industrial application</p> <p>1.3 If approved by Patent cell (level one), inventor prepares the cost statement (in consultation with the patent law firm) and submits the documents to the Patent Cell.</p> <p>1.4 After the level one approval and the financial requirement for filing the patent submitted by inventor(s), it will be sent to the Competent Authority for final approval</p>	<p><i>Factsheet Form</i></p> <p><i>Email for communication: patentcell@allduniv.ac.in and submit hard copy</i></p> <p><i>If external party is involved, the inventor is advised to immediately work on the signing the MoU with AU, if not done already.</i></p> <p><i>The MoU will be required at the time of filing the patent.</i></p>
Step 2. Filing of the patent	<p>2.1 Applicant has to contact one of the empanelled legal firms and prepare the necessary documents for filing the patent.</p> <p>2.2 Prepare Form 26, duly signed by Co-Applicant, if any; and attested from the Chairperson of patent cell.</p> <p>2.3 File the patent and submit the bills to the Patent Cell for reimbursement (as per University Rule)</p>	<p><i>Read all the steps along with the terms and conditions carefully and submit complete application for consideration by the Patent Cell.</i></p> <p><i>Should you have any queries kindly write to Email:</i></p>

Terms and conditions of the University

1. AU will be the one of the Assignees/applicant (national / international) and irrespective of the funding support or circumstances.
2. As per Government rules, the norm for submitting patent application is: up to 30 pages and up to 10 claims. The approved costs will be: Patent filing charges (government fee) and Standard patent filing charges (as required by the patent firm). Further justification and approval may be required if the patent application exceeds 30 pages and / or if the claims exceed 10.
3. The IPM Cell may conduct the meeting at the earliest possible date, based on the need.
4. The committee decision will prevailed by majority of the total number.

a) Factsheet

Factsheet, Patent Cell, AU

- 1) Title of the invention
(Choose a title which clearly describes the work with minimum number of words)
- 2) Field of Invention (if available)
- 3) Abstract (up to 100 words)
(Write a brief abstract about the invention of the work. Include important keywords (subject specific), so that the patent title is found in Web search)
- 4) Background of the Invention (Prior art) (up to 250-300 words)
(This is the introduction part. Here, the known literature about the present disclosure will be mentioned briefly. Provide references, preferably patents. You have to pitch for the gap here and make a strong case for your patent).
- 5) Novelty (3-5 bullet points)
(With minimum words explain the novelty of the present invention)
- 6) Non-obviousness (50 – 100 words)
(With minimum words describe, the present invention is not an incremental step with respect to the known literature (prior art) and to people who practice in this field)
- 7) Industrial application (2-4 bullet points)
(With minimum words provide the usefulness or industrial application of the present invention. Be precise and be as specific as possible. Points to ponder include market potential, emerging technology, sustainability, societal contribution, import/export data)
- 8) Description (up to 300-400 words)
(Provide clear description about the present invention. Include drawings, schemes, figures etc. to give a brief overview of the invention)
- 9) Advantages (3-5 bullet points)
(Provide briefly the salient features of this patent, unique selling points (USP))
- 10) Claims
(This is the most important part of your patent. Cover as many points as possible for a better protection with minimum claims)
- 11) National phase application / Particulars of International application field

Contd.

12) Declaration by the inventor(s)

I/We, the above named inventor(s) is/are the True & First inventor(s) for this invention and will abide the terms and conditions regarding patent rules of the University of Allahabad.

Name & Signature of the Inventor(s):

Address:

Date:

Recommendation and declaration of Departmental committee (chaired by Dean of Faculty):

The disclosures given above by inventor(s) are verified by committee hence committee is convinced that there is no lawful ground of objection(s) to the grant of the Patent. Also, that no application for protection in respect of this particular invention had been made before this date to the best of our knowledge. Therefore, the committee recommends the application for patent as per University rule.

Name, Designation and full signature

- 1.
- 2.
- 3.
- 4.
- .
- .

b) Application by Inventor(s)

To,
The Vice Chancellor,
University of Allahabad,
Prayagraj.

Date:

Subject: Undertaking with respect to Invention to be filed under AU

With respect to the invention made by me/us, I/we hereby submit to you that the inventions and claims made by me/us are true and devoid of any prior literature or scientific proceeding. I/we would like to file an Indian / Foreign patent application for the said invention. In this connection I / we would like to declare the following facts.

Title of the Invention:

The invention made by me/us is novel and the said work is not published, and neither made available to the public or available in the public domain *in toto* but a part may have been presented/published to a limited audience for scholarly review/presentation which is less than a year old.

- i. I/We would like to file an Indian/foreign patent by taking legal support from AU empanelled attorney.
- ii. Due to the urgency and the availability of funds at my/our disposal from (personal resources / external funding agency) I/we would like to proceed for the filing of the patent without taking any financial assistance/support from AU, if required.
- iii. I/We fully understand and acknowledge that AU will be the FIRST APPLICANT/ASSIGNEE to the said invention irrespective of whether AU supports financially or not.
- iv. The University holds the complete rights of this invention/patent and may decide to commercialise this at its own terms if AU decides to do so now or later. In such cases the revenue generated from the said commercialisation will follow the extant of the appropriate AU regulations.
- v. I/We undertake to keep you posted of the details regarding patent filing and costs involved pertaining to the above-mentioned invention, in future.
- vi. I / We give complete and unconditional consent for assignment of rights to AU.

Yours faithfully

Signature
Name & Affiliation
of the Inventor 1

Signature
Name & Affiliation
of the Inventor 2
(if applicable)

Signature
Name & Affiliation
of the Inventor 3
(if applicable)

Encl.

- 1.
- 2.
- 3.
- 4.
- .
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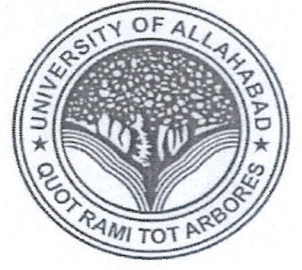


इलाहाबाद विश्वविद्यालय

प्रयागराज — 211 002, उ०प्र० (भारत)

UNIVERSITY OF ALLAHABAD

Prayagraj – 211 002, U. P. (INDIA)



No. 05/R/1445/2023

Date: 2nd June, 2023

NOTIFICATION

With the approval of Hon'ble Vice Chancellor, Dr. Vishnu Prabhakar Srivastava, Associate Professor, Department of Chemistry will be the Chairman of the Patent Committee in place of Prof. Vrajesh Tripathi, Department of Zoology, University of Allahabad.

This is issued with the approval of the Hon'ble Vice Chancellor

NK
04/06/2023

Registrar

Copy for information and necessary action.

1. Dr. Vishnu Prabhakar Srivastava, Department of Chemistry, UoA.
2. Dr. Manish Srivastava, Department of Chemistry, UoA.
3. Dr. Monisha Singh, Department of Family & Community Science, UoA.
4. Dr. Triranjita Srivastava, Department of Physics, UoA.
5. Adv. Adarsh Ramanujan, Lawyer, Independent Counsel, Chambers of Adarsh Ramanujan, New Delhi
6. Adv. Shuja Zameer, Lawyer, Supreme Court of India, Delhi
7. Dr. Simant Kumar Srivastava, Department of Chemistry, UoA
8. Public Relation Officer, UoA.
9. Finance Officer, UoA.
10. SVC for kind information of the Hon'ble Vice Chancellor.
11. ICT Cell with request to upload the same on University website.

NK

Registrar



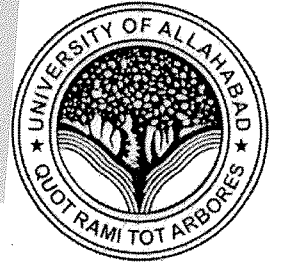
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प्रयागराज — 211 002, उ०प्र० (भा)

UNIVERSITY OF ALLAHABAD

Prayagraj - 211 002, U. P. (INDIA)

Sign: 3



No. 05/R/ 3209 /2022

Date: 31st August, 2022

NOTIFICATION

With the approval of Hon'ble Vice Chancellor, a Patent Committee in the University is hereby constituted comprising of the following members:

- | | | |
|---|---|----------|
| 1. Prof. Vrajesh Tripathi, Department of Zoology | - | Chairman |
| 2. Dr. Manish Srivastava, Department of Chemistry | - | Member |
| 3. Dr. Monisha Singh, Dept. of Family & Comm. Science | - | Member |
| 4. Dr. Triranjita Srivastava, Dept. of Physics | - | Member |
| 5. Adv. Adarsh Ramanujan, Lawyer, Independent Counsel,
Chambers of Adarsh Ramanujan, Delhi | - | Member |
| 6. Adv. Shuja Zameer, Lawyer, Supreme Court of India, Delhi | - | Member |
| 7. Dr. Simant Kumar Srivastava, Dept. of Chemistry | - | Convener |

NK/ 31/8/2022
Registrar

Copy to:

1. All members concerned.
2. All the Deans of Faculty (Arts/Law/Commerce/Science).
3. Dean, (College Development/Research and Development/Student Welfare).
4. All Heads of Departments are requested to attend the meeting along with two junior faculty members of their Department, UoA.
5. All Coordinators of Centers are requested to attend the meeting along with two junior faculty members of their Centre, UoA.
6. Public Relation Officer, UoA.
7. Finance Officer, UoA.
8. Librarian/Deputy Registrar/Assistant Registrar, UoA.
9. CPIO/Law Officer/Estate Manager/Placement Officer/Chairman, ICT Cell.
10. SVC for kind information of the Hon'ble Vice Chancellor.

NK/ 31/8/2022
Registrar

Activities

A meeting of University – Industry linkage under the chairmanship of Hon’ble Vice-Chancellor was held on Friday, 14 February, 2014 in the Camp Office of the Vice-chancellor in which Professor Incharge, Academic Programme, Registrar, Nodal Officer, University Industry Interface Cell (UIIC) and some faculties from University of Allahabad as well as President, Eastern UP Chamber of Commerce & Industry (EUPCCI), Secretary, EUPCCI and Joint Secretary, EUPCCI from Industry were present.

Another meeting of University Industry Interface Cell (UIIC) under the chairmanship of Hon’ble Vice-Chancellor was held on February 26, 2014 in the meeting room of the Vice-Chancellor in which Professor Incharge, Academic Programme, Nodal Officer, UIIC and Finance officer were present to discuss joint organization of National Conference on “Education, Employability & Entrepreneurship: Finding the Missing Link” with EUPCCI.

Third meeting of University Industry Interface Cell (UIIC) under the chairmanship of Dean, R & D was held on March 7, 2014 in the office of Dean, R & D to discuss the strengthening of University-Industry linkage and participation of ten member delegation at Hotel Kanha Shyam in the National Conference on “Education, Employability & Entrepreneurship: Finding the Missing Link”



Delegation members with Dean Research & Development

A National Conference was organised on “Education, Employability & Entrepreneurship: Finding the Missing Link” at Hoel Kanha Shyam on March 8, 2014.

National Conference inauguration and University delegation members

On March 8, 2014, an Interactive Session of University-Industry linkage was under the chairmanship of Hon’ble Vice-Chancellor was held in which representatives from University as well as from Industry were present to discuss the implementation of recommendations made in the National Conference on “Education, Employability & Entrepreneurship: Finding the Missing Link”



Interactive Session of University-Industry Linkage

BENEFITS

Benefits of University-Industry Linkage to the Industry

1. Access to manpower, including well-trained graduates and knowledgeable faculty from the university;
2. Access to basic and applied research results from which new products and processes will evolve;
3. Solutions to specific problems or professional expertise, not usually found in an individual firm
4. Access to university facilities, not available in the industry Assistance in continuing education and training
5. Being good local citizens or fostering good community relations

INCUBATOR CENTRE

S. No	Name	Address	Mobile
1	Dr S I Rizvi <i>Coordinator</i>	Professor, Dept of Biochemistry, UoA	9415305910
2	Dr. Awadh Bihari Yadav	Assistant Professor, Centre of Biotechnology, UoA	7706070903
3	Dr. Suneet Dwivedi	Associate Professor, K.Banerji Centre of Atospheric Science & Oceanography, UoA	9450587733
4	Dr. Manoj Kumar Singh	Assistant Professor, Centre of Material Science, UoA	9598644106
5	Dr. A. R. Siddiqui	Associate Professor, Dept of Geography, UoA	9450608208

INDUSTRY ALUMNI

S. No	Name	Address
1	Shri Deepak Singhal	ED, RBI
2	Shri Pankaj Dubey	CEO, Polaris Ltd.
3	Shri C.M.Sethi	CEO, Reckitt Benkiser

6	Shri Ajeet Prasad	MD, ASREC
7	Shri Farid Akhtar	GM, Punjab National Bank
8	Shri Salil Krishna	VP, AXIS Bank
9	Shri Projjal Chakrabarty	ED, Indian Oil
10	Shi Gyaneshwar Tewari	ED, Indian Oil
11	Shri Alok Mishra	ED, ONGC
12	Shri Praveen Anand	ED, Punjab & Sind Bank
13	Shri Vimal Tripathi	VP, HDFC Bank
14	Shri Sharad Srivastava	ED, LIC
15	Shri Sanjeev Matoo	Sr. VP, Reliance Industries
16	Shri Sanjay Srivastava	DGM, State Bank of India
17	Shri Arvind Pandey	DGM, Rashtriya Ispat Nigam Ltd.
18	Shri Anurag Gupta	GM, Food Corporation
19	Shri Vikas Kapoor	Global Head, Bharti Airtel
20	Shri Anupam Verma	President, Wockhardt Hospitals Ltd
21	Ms Nivedita Dubey	Joint GM, Airport Authority of India Ltd
22	Ms Rashmi Dhingra	Senior Manager, Indian Oil

INNOVATION CLUB

S. No	Name	Address	Mobile
1	Prof. Ranjana Prakash <i>Coordinator</i>	Professor & Head, Department of Physics, University of Allahabad, Prayagraj- 211002	9794169391
2	Dr. Geeta Watal	Associate Professor, Department of Chemistry, University of Allahabad, Prayagraj- 211002	9450587750
3	Dr. Abhay Kumar Pandey	Associate Professor, Department of Biochemistry, University of Allahabad, Prayagraj- 211002	9839521138
4	Dr. N. K. Shukla	Associate Professor, JK Institute of Engineering & Technology, University of Allahabad, Prayagraj- 211002	9415214363
5	Dr. G.S. Darbari	Ex-President, Eastern UP Chamber of Commerce & Industry, 25-d/1, Jawaharlal Nehru Road, Prayagraj, Uttar Pradesh 211002	9415289391

and academic programs responsive to industry needs and relevant to national development goals.

Objectives

1. To strengthen University Industry linkage To provide venues for continual dialogue with various industrial sectors through symposia, exhibitions and roundtable discussions.
2. To help identify relevant directions for the University's research thrusts and academic programs based on up-to-date feedback from industry partners.
3. To maintain congenial relations with industry partners committed to supporting the University's industrial internship programs in the undergraduate and postgraduate levels.
4. To identify opportunities for extending relations with industry through various activities, including commissioned research projects and topic-oriented technical seminars.

Nodal Officer



Prof. M.P. Singh

Centre of Biotechnology
University of Allahabad
Allahabad – 211002

Email:uiic@allduniv.ac.in, mpsingh16@allduniv.ac.in

RATIONALE

The University Industry Interface Cell (UIIC) was established in February 2014 at the initiative of Prof. A.K. Singh, the then Vice-Chancellor of University of Allahabad to develop a symbiotic relationship between the University and partners from different industrial sectors. It will act as a dynamic liaison unit with industry counterparts in order to identify opportunities to develop innovative solutions for technological and industrial problems in aid of national development.

Contact

University of Allahabad
Senate House Campus, University Road, Old Katra, Prayagraj (Allahabad), Uttar Pradesh - 211002
0532-2461083

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